

Illinois Supreme Court e-Business Initiative
Compiled Questions and Answers - Revised April 22, 2011

| Questions | | Answers |
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| General Questions | | |
| 1 | What trial court and probation departments will participate in the e-Business project? If not known, when does the AOIC expect to identify them? | The trial court and probation departments have not been identified yet. They will be selected and partner with the AOIC during the Discovery phase of this project. |
| 2 | Can you estimate an upper limit to the number of trial court and probation departments that will participate in the e-Business project? | A representative sample of counties and probation departments are anticipated, possibly up to five of each. |
| 3 | Will the prototype require interaction with all the distinct case management systems in the state? Or will the AOIC identify the initial CMS for the prototype? | The trial courts will continue to manage their respective court / case files, including the operation of their case management system. The RFP requires the selected vendor to work with the selected trial court and probation departments (and their respective case management systems) to develop the e-Business prototype. |
| 4 | If the vendor believes additional hardware may be required to support the prototype (other than what is specified in the addendum), should that be included in the proposal estimate? | Yes. Hardware and software should be proposed, including estimates on any licensing or recurring use products. |
| 5 | What are the judicial network related recommendations or activities that is expected in the vendor's proposal and the e-Business prototype? | For purposes of the SOW, vendor is not responsible for recommending or providing any components for the judicial network. However, the vendor's solution, including data exchanges, will use the Judicial Network configured by the AOIC. |
| 6 | Does a network / Internet connection currently exist to the trial courts and probation departments? Are all counties connected? Is there a need to research the bandwidth requirements for this project? | At present, no network or Internet connection exists connecting the trial courts and probation departments. The vendor's is not responsible for including the Judicial Network configuration in their respective proposals. |
| 7 | Is the intent of the prototype to operate in parallel with existing court processes for the prototype period? | Yes. As a prototype, the deliverables will demonstrate the e-Business functions described in the RFP. Existing production systems in the trial court and probation departments should remain functional and unaffected by this prototype. |
| 8 | What case types and associated documents will be included in the prototype? | Unknown at this time |
| 9 | Can you provide any additional information on the State Treasurer's e-Pay system? | The Treasurer's e-Pay website is: www.illinoisepay.com |
| 10 | Please describe how the selected court and probation departments will participate in the prototype. Will AOIC coordinate the participants involvement and resources? | The AOIC will coordinate meetings with the selected trial court and probation departments, working with the vendor during the Discovery phase of this project. |
| 11 | Please clarify that each participating trial court and probation department will handle direct integrations to their respective case management systems following the specifications defined by the vendor. | The Court's e-Business Initiative is built upon data exchanges through the Judicial Portal, not integration via a Services Oriented Architecture (SOA) model. To that end, the trial courts and probation departments will facilitate any changes to their respective case management systems consistent with data exchange standards developed during the statewide e-Business project. |
| 12 | What is the expectation for hardware needs during this RFP? Do you expect vendor to provide prototype hardware? Will hardware planning for the prototype be part of discovery phase? | The hardware and software available for this project is listed in Section 4.4 of the RFP. Should the vendor identify additional hardware or software needed, it should be listed in their proposal including any costs and licensing requirements. If during the Discovery Phase hardware and software needs are identified, they are to be included in the requirements document produced by the selected vendor prior to proceeding with the Prototype Delivery Phase. |
| 13 | Are you looking for a fixed price contract? Should we consider a time and materials option as well? | The RFP identifies the deliverables associated with a vendor's proposal, including the pricing structure. The details of the pricing model (whether time and material or fixed price) should be clearly stated in the vendor's proposal. |
| 14 | The RFP allows vendors to retain ownership of previously developed software but also grants the AOIC a license to use such software after the expiration of the RFP's contract for the prototype. What would the term/length of such a license be? | The RFP requests vendors to identify and describe end user licensing of any software proposed where the Court will not own the work product, including the terms of use. |
| 15 | Is there a set Table of Contents or format that the AOIC is expecting for the submission of the vendor's proposal? | The format, inclusions, and details of a vendor's proposal are identified in the RFP. Other than these specifications, no set format and table of contents are required for a vendor's response. |
| 16 | Can the AOIC provide a Word version of the RFP so appropriate forms can be completed? | The current searchable PDF is the only electronic copy of the RFP available at this point. |
| 17 | Have any additional e-business functions been identified? | The RFP focuses on electronic filing, online e-guilty pleas, and trial court and probation data warehouses. Although anticipated, other e-Business applications will not be a part of the e-Business prototype. |
| 18 | Is participation by the counties in this project voluntary or mandatory? | Participation is voluntary. |
| 19 | Where is the data center? | The data center is located in Springfield, IL. |
| 20 | How does this e-Business initiative fit with current county pilots? | The current e-Business pilot projects are circuitized and county-specific whereby this project is to deliver a prototype using a centralized judicial portal, uniform data exchange standards and practices to support statewide electronic filing and guilty pleas. |
| 21 | Will there be workflow difference by county? | Standard workflow needs to merge for common processes but also needs to recognize needs with the ability to manage accordingly. |
| 22 | Did a third-party assist the AOIC in the creation of the RFP or who developed the RFP? | The RFP was developed using information gained by local pilot projects in the trial courts, responses to an RFI posted in 2007 (yielding more than 20 responses), a target assessment developed with Oracle, lessons learned and information gathered from e-Business projects in other states, and internal resources / research. |
| 23 | Will you provide a list of those organizations attending this conference? | Yes. A list of those attending and questions and answers will be posted to the Court's website: www.state.il.us/court/procurement |
| 24 | What is the budget and funding source for this project? Will and federal grants be used? | The Supreme Court will fund this project through its budget. Any specific funding sources will be managed by the Court, internally. |
| 25 | Has money been appropriated for the fiscal year for the discovery phase? | The 90-day time line assigned to the Discovery Phase includes the use of fiscal year 2011 monies, with the Prototype Delivery phase to include monies from the Court's 2012 fiscal year. |
| 26 | Are you looking more to have something built or customized or an off-the-shelf package as a solution for this project? | We are expecting both alternatives, but leave that to the expertise and products available from each vendor. Any off-the-shelf products that include licensing, should define the cost and licensing agreement so that the ongoing / recurring costs can be anticipated relative to the one-time customized costs. |

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| 27 | Is development work to be done onsite? | Although the development work can be done off-site, at some point the customized programs and systems will need to be ported to the Court's data systems and database architecture. The architecture includes a development and test database platform. The production environment has not been identified yet. |
| 28 | Would a third-party hosted solution be entertained? | The RFP invites vendors to offer a hosting alternative as a part of their proposal. The details of such an arrangement, service levels, licensing, and recurring costs would need to be specified in the solution. |
| 29 | What are the plans for the next phase of the e-Business initiative, specifically in rolling out the solution to all 102 trial courts? Will a new RFP be offered for this phase? | The time line for including all 102 trial courts and 65 probation departments is not known at this time. This RFP is specific to the delivery of a functional prototype that includes a select number of trial court and probation departments. Any future project solicitation is not expected to start over and redesign the entire work, technologies, or standards developed in this project. |
| 30 | When does the fiscal year end? | The state's current fiscal year ends June 30, 2011. |
| 31 | Responses are due at the end of April 2011, will there be a short-list announced before a selection is made? Will there be contracts issued by June 30, 2011? | The AOIC will evaluate all proposals and select a vendor for this project. Consistent with the time lines identified in the RFP, the plan is to select a vendor and execute a contract by mid-May 2011. |
| 32 | Are there any statewide systems that you are looking at as a model? | The AOIC has monitored the projects in several states. Minnesota, Ohio, and Florida are a couple of states, but there are certainly differences in most states and Illinois. |
| 33 | Section 1.1 of the RFP indicates an electronic copy of the proposal is requested. It also indicates a signed original and 10 copies of the proposal are requested. Is one signed electronic version of the two files (technical response and pricing) sufficient or does the AOIC want 10 paper copies with an e-version included? | One signed original with 10 paper copies and one electronic version meets these requirements. |
| 34 | Are extensions in the due date for proposals being offered. | Not at this time. The time line is based upon the use of judicial monies and the state's fiscal year. |
| <u>Additional Questions - April 22, 2011</u> | | |
| 35 | Is the State's definition of 'Prototype' to mean that the Vendor will gather requirements, design, and build all of the e-Business functionality (other than data adapters to convert trial court and probation department CMS' proprietary data structures to the identified and designed NIEM data formats), including all screens, reports, queries, analytical models, etc., during the Discovery and Prototype Delivery phases as described in the RFP, and deploy all the functionality to a select number of trial court and probation departments as a full production 'prototype'. Once the State is satisfied that the e-Business installed functionality works as per the requirements and design specs, the remainder of the courts will be installed for full production use? It is understood that any work, such as data adapters, not scoped for the functional prototype will be scoped for a subsequent production system. | See response to question number 29 on initial Question and Answers. |
| 36 | What are the limitations for customization within the dashboard? | The functionality of the dashboard(s) will be defined during the Discovery Phase. Also see response to question number 71. |
| 37 | Does the entire development team need to be onsite at the Springfield Data Center during the development of the e-Business prototype? | Although the development work can be done off-site, at some point the customized programs and systems will need to be ported to the Court's data systems and database architecture. Migrating and implementing the prototype onto the Court's development and test platforms would require onsite technical staff. |
| 38 | Is the e-Business prototype expected to use only the software currently licensed to the Court? Will the e-Business prototype be expected to operate exclusively on the Court's systems? | The RFP lists the platform and software currently available for use in the development and delivery of this prototype, i.e., Oracle Weblogic. Any additional software proposed in the vendor's solution should detail the software, its licensing / purchase terms, and hardware if the current systems are not adequate. As for the operation of the e-Business prototype, unless a 3rd party hosting service is proposed, the central e-Business applications are to run on the Court's infrastructure, exchanging information with trial court and probation case management systems and 3rd party services, such as ACH. |
| <u>Judicial Portal Questions</u> | | |
| 39 | What e-Business functions besides e-Filing and e-Guilty are expected to be included in the Judicial Portal? | Where repetitive e-Business functions minimize the work required for a trial court or probation department or allow for uniform procedures, those functions will be included in the central e-Business Judicial Portal. However, for the purposes of this specific project electronic filing and electronic guilty pleas (and the data repositories) are the scope of e-Business functions. |
| 40 | How much of the content on the Judicial Portal would be changed on a regular basis? How dynamic is the portal expected to be and who will be responsible for the maintenance of the portal? | The Judicial Portal is the 'gateway' into the Court's statewide e-Business applications. The work flow and content it serves will be specific to the e-Business applications. The AOIC will be responsible for maintaining the Judicial Portal. |
| 41 | Are there specific requirements for implementation of a security framework via the Judicial Portal? For example, the use of biometric authentication, smart cards, digital signatures, etc? | A security access manager and authentication system is a critical component of the Court's e-Business initiative. The vendor is to identify and describe the technology, hardware and software proposed to provide an access manager, identity management, and authentication system and how they will be integrated and used in the e-Business applications. |
| 42 | What are the existing identity management, access manager, and judicial portal hardware and software systems currently being used by the Court / AOIC? | The vendor's proposals are to identify a Judicial Portal platform and design process to include identity management and access manager to support the e-Business applications defined in the RFP. |
| 43 | Should the messaging notifications identified in the RFP (e-mail, fax, text, status updates, etc.) be a part of the deliverables in this prototype? | Yes. The ability to provide notice and messaging specific to an e-Business application should be demonstrated in the functional prototype. |
| 44 | Should the Judicial Portal and e-Business applications be compatible with, and support mobile devices and industry messaging standards? | Yes. If the e-Business application(s) provides a work flow that allows for the use of mobile devices, it should be a part of this prototype. |

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| 45 | Is the communication between the central data repository and the local case management systems uni-directional or bi-directional? | The exchange of data will be bi-directional, specific to the e-Business application and specific procedures defined for data transmissions. The central data warehouses will be designed to collect and exchange information with each trial court and probation department, allowing query, reporting and business analytics on case data. |
| 46 | Will the Judicial Portal host forms for attorneys to download, complete and submit? Will judges have access to forms and documents specific to their needs via the Portal? | The Judicial Portal is to provide access to forms, documents and support a work flow specific to the e-Business applications. Providing forms for use in an e-Business application (for each user type) is a realistic function that can streamline a work flow and benefit the use of the portal. Forms can also be available via a link to a specific trial court or probation department's forms. |
| 47 | Will law enforcement agencies be able to use / access information via the Judicial Portal? | The scope of work described in this RFP is specific to the judiciary. However, it is understood that future data exchanges with law enforcement, state and federal agencies is a benefit to a central repository of trial court and probation information. Such a data exchange with other entities would provide a single source of judicial information, such that local courts and probation offices would not have to interface and develop exports for other entities. |
| 48 | Is there a requirement to send data to ICLEAR/LEADS through the Judicial Portal? | The scope of work described in this RFP is specific to the judiciary and does not require data exchanges with the Illinois State Police or other state or federal entities. However, this capability is anticipated for future functionality. |
| Digital Signature Questions | | |
| 49 | Are digital signatures a part of the scope of this project? Will documents filed by attorneys and other filers need to be digitally signed? | Yes. Digital and electronic signatures are anticipated technologies to be used as a part of the e-Business applications described in this RFP. Varying levels of authentication and signatures are expected for the e-Business applications, including e-Filing. Attorneys must be authenticated. Circuit Clerks would 'sign' an electronically filed document, judges would sign orders. |
| 50 | Does the Court intend to use its own certificate authority, the certificate authority managed by the State of Illinois, or an independent certificate authority? | The RFP leaves the design and use of certificates and a certificate authority (CA) to the vendors expertise as many options exist for authentication and 'signing' technologies that will need to be incorporated with a solution. However, a trusted certificate authority is expected. CA alternatives that use a service and/or require the court to license the use of their software should include the licensing / purchase cost in the vendor's proposal. |
| 51 | What are the uses of the CA? Will the e-Business applications digitally sign PDF documents, the XML data exchanges, or other document formats? | The Judicial Portal should include the uniform use of authenticating each user, the use of electronic and digital signatures as defined for each e-Business application. The use of digital signatures, in particular, will be defined for critical actions or exchanges that warrant such protection. |
| 52 | How will electronic and digital signatures be used and/or applied within the e-Business applications? | The use of signatures (electronic and digital) will be identified during the Discovery Phase. The vendor's proposal should be flexible in the use of these technologies for the e-Business prototype. |
| 53 | What platforms need to be supported for the use of electronic and digital signatures? | The e-Business applications should be browser-based and support common computer operating systems (i.e., Windows, Apple) and mobile devices. |
| User Administration Questions | | |
| 54 | Are there any directory services or platforms that are currently in place for the target audience? | No. County technologies and security systems are independent in the trial courts. No centralized (state) directory service exists for judges, attorneys, circuit clerks, AOIC staff and other stakeholders. This RFP requires the implementation of an authentication and access manager components which is critical to identifying individual users of the e-Business system. |
| 55 | Will users be added to the identity repository as soon as user registers? Will there be a manager or supervisor driven approval system for all of these users? What users will need approval? | This depends on the authentication, identity management and access system proposed by the vendor. A workflow should be defined to allow users to request id's and for the AOIC to efficiently manage user accounts / security policies for use of the e-Business system. An audit capability is a critical component of the identity system. |
| 56 | Will account administration be delegated to groups of administrators? | The AOIC's JMIS division will be responsible for administering accounts for the e-Business prototype. The procedures proposed by the vendor will be evaluated to identify the impact of a fully deployed e-Business initiative throughout the state. |
| 57 | How many people do you expect to access the system on a daily basis? How many 'interactions' (page views, portal actions, etc.) do you expect on average from each person? | Estimating the number of users, page view, and interactions is premature at this point. |
| 58 | How many different types/categories of people will use the system (Judges, judicial admins, attorneys, constituents)? | The identity management / authentication system will need to allow for the creation of roles, groups, and organizational units to manage users and security access in each e-Business application. |
| 59 | What are the service level expectations and up-times anticipated for the e-Business project? | The system will need to be available and, once in production, be considered a mission critical application. |
| Additional Questions - April 22, 2011 | | |
| 60 | Which modules / applications should law enforcement and homeland security (RFP Section 1.1, Goals and Outcomes, 3rd bullet point) have access to? | Section 1.1, Goals and Outcomes speak to the overall objectives of this project and how the information can be used to benefit the judiciary, judicial stakeholders, and the public. However, the RFP identifies four specific e-Business applications (e-Filing, e-Guilty pleas, Trial Court and Probation data repositories) and an approach to discovery and deliver a function e-Business prototype. Access to law enforcement and homeland security are not part of the deliverables in the RFP. This capability is anticipated at some future time. |
| 61 | What access should be given to federal entities? | See response to question number 47. |

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| 62 | How and when will trial court and probation department data be shared / exchanged with State Police Departments and local law enforcement communities and other judicial stakeholders? What roles and privileges should be defined for these entities? | Please see responses to question 47 and 58 on the initial Questions and Answers. |
| 63 | Aside from the clerk, are there other users that will need to access and/or download information from the repositories? | Access to information via the Portal is anticipated to span a number of user types. The Portal and Access management system is expected to be flexible enough for the AIOC to administer user access to any of the information in the e-Business applications via the Portal. Also see response to question number 92. |
| Electronic Filing Questions | | |
| 64 | What case types will be considered for the e-Filing prototype? | The Supreme Court's e-Filing policy currently addresses civil cases. As such, civil cases will be emphasized for the statewide e-Filing prototype, but specific case types have not been identified for a particular county. |
| 65 | With the implementation of the statewide e-filing system, will the existing county e-filing systems be replaced with the new statewide system? | This RFP and the development of the e-Business prototype will not impact the existing local e-Business projects implemented in a county. |
| 66 | What are the document retention / archiving specifications relative to electronically filed documents? | The e-Business Initiative is not to replace the trial court's responsibility for record keeping or case management. Document retention and archiving via the Judicial Portal and e-Filing application is to provide access to electronically filed documents (via online document and/or hyperlinks to documents) and certain case information, but not replace the circuit clerk's ability to manage court records. |
| 67 | How many documents are likely to be electronically filed on a daily basis? | An estimate is premature at this time. This would be dependant upon the case types and counties participating in this project. |
| 68 | Has a specific workflow been identified for electronic filing? | The RFP describes a general work flow for e-Filing. However, the specific workflow for the statewide electronic filing application will be detailed during the Discovery phase of this project. |
| 69 | Does the e-Filing prototype include filling in online forms and / or an attorney attaching documents to be filed with a circuit clerk's office? Has a standard format been identified for filed documents? | Specific information to be entered with each electronic filing has not been identified at this time. It is anticipated that online forms would standardize the information collected with each filing. Adobe PDF documents are expected to be the standard document type used for electronic filings to a circuit clerk's office. |
| 70 | Will forms be standardized across the state for various e-Filing functions? | The current forms and information necessary for filing documents with a circuit clerk will be reviewed for consistency with the intent to develop a uniform process for e-Filing in all trial courts. However, being flexible to support the local rules and practices is critical. |
| 71 | What should be included on the dashboard / status update screens? Who will update the information on the dashboard? Should it show notifications and a view of the entire case? | Depending on the user (attorney, clerk, judge), the dashboard should provide a customized view of the information critical to that user, listing status updates, events, and notifications specific to the e-Business applications. Events relative to a case or specific information from a county's case management system might trigger a notification, the circuit clerk may also provide a notification or announcement relative to a case. |
| 72 | Would messaging and status updates be automated event-based updates, user-initiated or both? | Messaging and status updates would be automated based upon both an event and user-specific activities. Updates can also be initiated by court personnel or case-specific event. |
| 73 | What is expected to be presented via the Judicial Portal relative to the trial court docket? | Attorneys should be able to query case information, see schedules and get general case information through their dashboard. The dashboard should provide a means to query court schedules rather than an interactive system such as might be provided via a local case management system. |
| 74 | Since civil e-Filing has nothing to do with probation, why are we trying to marry the two in the RFP? | The RFP and e-Business Initiative is about facilitating court business and judicial information. One aspect of that is electronic filing, while another is managing and compiling probation (and trial court) information, which has a public protection interest. |
| 75 | How are debit accounts used to settle electronic filing and court fees for attorneys? | Some trial courts accept payment on debit accounts maintained by law firms/attorneys. As documents are filed, fees are withdrawn from the debit accounts. |
| Additional Questions - April 22, 2011 | | |
| 76 | What happens to the case status after payment is made? Are there any notifications that need to be sent out to confirm payment? If so, to whom? | The specific work flow, notifications, and messaging process for e-Filed documents is expected to be detailed during the Discovery Phase which will include procedures and requirements from the trial court and circuit clerks. Also see question / answer number 88. |
| 77 | Will there be any notifications other than the ones provided by the external ACH? | The messaging component of the e-Business system should be flexible and a common component for all notifications / announcements for e-Business applications. For example, notice of e-Filed documents, notice of a circuit clerks' acceptance / rejection of a filed document, etc. Notifications from an Automated Clearing House (ACH) application (i.e., Illinois Treasurer's e-Pay service) or other services is dependent upon the capabilities of the ACH service and not the Court's centralized messaging system. |
| 78 | How many reports will be required to support the e-Filing functions and Dashboard? | It is unknown at this time. The number of reports (online and print) will initially be identified during the Discovery phase when the information gathered and available in the respective warehouse repositories and e-Business applications are identified. |
| 79 | Will announcements and bulletins be treated as messages that will be displayed in the status dashboard, or are they to be posted to a different bulletin board? | The type of announcement or message should be broadcast in the most effective and efficient means, which may include on the dashboard, a text message, e-mail, a message when a user logs into the Portal, or all of the above. |
| 80 | Are all announcements limited to case-related items? | No. Also see response to question number 72. |

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| 81 | What standards will be followed for the filing of electronic documents, or will the vendor be required to develop a template for this purpose? | Electronic filing standards, such as the information required with each filed document will vary depending on the case type, requirements from the CMS and circuit clerk. This procedure will be detailed during the Discovery Phase. However, the circuit clerk will need a summary of the days transactions that include case number, payments, an authorization number, and other identifiers in order to balance the payments. There may also need to be a drawdown account to which the ACH can deposit the payments and the clerks can transfer them to the circuit clerk's account. |
| 82 | How does the automated workflow, via the data exchange model, seamlessly exchanging information with the local trial court's case management system? | See response to question number 68. If the question challenges a 'data exchange model' versus SOA and direct integration of central application with local case management systems, the data exchange model was selected to minimize the initial work and changes to the numerous case management systems in the trial courts and probation departments. |
| 83 | Is there a need to store the electronically filed documents (filed via the Portal and statewide e-Business application) locally in the county's case management system? | Yes, the e-Filed documents will need to be transmitted (via a coordinated schedule and format) to the circuit clerk's office as the Circuit Clerk retains responsibility for managing and maintaining all case records. However, the parties in a case should be able to access the e-Filed documents via the Portal, but the document(s) must also go to the local county as the official case record. |
| Electronic Guilty Questions | | |
| 84 | Where will the 'lookup' information for traffic/conservation citations be pulled from? Are the same CMS running at the various counties or are there different CMS systems? | The Court's central e-Guilty application will need to receive and compile traffic and conservation citation information, including bail payments made at the time of the stop, from the law enforcement entity and/or circuit clerks. This information will then allow the public to enter information to identify the traffic offense and identify the county to manage the guilty plea and fine. There are currently 12 case management systems (CMS) in operation in the 102 counties in Illinois. |
| 85 | Will payment of traffic/conservation citations be made via online credit card processing instead of the automated clearing house (instantaneous vs. deferred payment)? Or will this be done via ACH as well? | The processing of payment will be a pass-through function to a clearing house. The central e-Guilty plea application will not directly process credit card payments. |
| 86 | Has it been determined whether the circuit court will be able to designate the providers of pass-through services such as electronic payments? | The e-Business prototype as described in Section 3.4 Automated Clearing House Services, page 19, provides for ACH services from 3rd party entities, including the State Treasurer's e-Pay site. No specifications were included to provide for the development of a judicial branch ACH service. |
| 87 | Will the e-Guilty application include searches for existing judgments for payment? | The RFP does not currently include a scope for the e-Guilty application to search for existing judgments. |
| 88 | Will the ACH be responsible for providing payment confirmation to the e-Guilty application? | The successful processing of a credit card payment would need to be indicated to the e-Guilty application and circuit clerk's office in order to complete the online guilty plea and payment of the corresponding fine. |
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| 89 | Do we only need to provide a link to the local e-Guilty System? | As described in the RFP, a centralized e-Guilty application includes a workflow on the Court's central platform that exchanges information and integrates with a county e-Guilty system and ACH service. Also see response to question number 84. |
| 90 | How is data retrieved from the law enforcement offices to process citation numbers for the e-Guilty application? | The Discovery Phase is expected to identify current exchange practices and develop a standard and process for state and local law enforcement transmissions of citations. |
| 91 | What is the local e-Guilty system and what are their current platforms? | The current county e-Guilty systems typically match the respective case management systems and platforms. Also see response to question number 84. |
| Trial Court Data Warehouse Questions | | |
| 92 | Will the data warehouse described in the RFP be open to the general public for uses other than electronic filing and electronic plea initiation? | At this point, the purpose of a trial court and probation data warehouse is intended for use by the judicial branch. E-Business applications designed for use by the public (i.e., e-Guilty and e-Filing (pro se filers)) would be available to the general public. |
| 93 | Is the intent of the e-Business Initiative to standardize on the NIEM model, which will include the possible use of existing IEPD's? | The e-Business Initiative plans to standardize its data exchange on the National Information Exchange Model (NIEM). This model was developed in partnership with the Department of Justice, Homeland Security, and the U.S. Department of Health and Human Services. NIEM builds on the Global Justice XML Model and includes existing Information Exchange Packet Documentation (IEPD's) that may apply to the e-Business applications in this RFP. |
| 94 | Will the case management systems be pushing data into the repository or will the Judicial Portal / e-Business applications query the county case management systems to export data from them? | The data exchange model does not include direct integration where the centralized e-Business applications will connect and query the county case management applications for trial court (or probation department) information. Therefore, initially, the county CMS will export information, pushing the data to the central portal at a predetermined transmission schedule. This will minimize the changes to and impact on the in-house and COTS case management systems. |
| 95 | What changes are expected to the county case management systems with regard to the data exchanges to support the e-Business applications, including the Trial Court Data Warehouse? | It is expected that changes will be needed to the case management software. Import / export routines will need to be developed to support the e-Business application and XML format. Then a transmission schedule and process will be needed between the CMS and Judicial Portal's e-Business application. The transmission schedule will depend on the specific e-Business application and need for timely information. |

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| 96 | What kind of reporting / queries will be needed for the trial court repository? | The reporting and ability to analyze the trial court data is a critical requirement of the trial court warehouse. Report specifications will be identified during the Discovery Phase. The ability to view summary trial court information but be able to 'zoom' or filter that data to specific components of the whole is an important reporting function. |
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| 97 | How many reports will be required to support the Trial Court Data Warehouse function? | It is unknown at this time. The number of reports (online and print) will initially be identified during the Discovery phase when the information gathered and available in the respective warehouse repositories and e-Business applications are identified. Also see response to question number 96. |
| 98 | In addition to developing the data collection standards for the ADR and Annual Report System through the trial court warehouse, does the scope of work for the e-Business RFP include rewriting the ADR and Annual Report programs (front-end)? | The intent of including the Annual Report System and ADR components in the trial court warehouse is to make certain that the data definitions used to collect trial court data for the trial court warehouse include the elements needed for these two existing programs. However, the RFP does not include the scope of work that rewrites the existing programs used to compile this data, develop a standard data exchange with ISP/SOS, or rewrite the existing ADR and Annual Report applications. |
| Probation Data Warehouse (POLARIS) Questions | | |
| 99 | Can we expect that changes made to one vendor's CMS will be compatible with other probation department's that use that same CMS? | In general, yes. However, there are always data issues in how a department uses or enters information in a CMS. Differences may also occur if different versions of a vendor's CMS are used or customizations have occurred for a particular probation department. |
| 100 | What expectations are there for integration with the non-automated probation CMS? | Those probation departments will not be a part of this RFP or the e-Business prototype. |
| 101 | Do you intend to load any historical data from the existing CMS applications into the central repository? | Loading historical data will be contingent upon the e-Business application's need for this data. It may be useful to load some historical information from the trial courts and probation departments to demonstrate the query / reporting capabilities for the data warehouses. |
| 102 | What is the difference in the POLARIS project and the Probation Data Warehouse described in this RFP? Will the data warehouse 'talk' to POLARIS? | POLARIS and the Probation Data Warehouse are one in the same. The POLARIS project is in its 3rd phase, which requires the collection of case-specific probation data into a central data warehouse as described in this RFP. |
| 103 | Was there a vendor that worked on the previous phases of the POLARIS project? | The University of Illinois, Springfield (UIS), Chicago Systems Group, Inc., and Mythics, Inc. assisted the AOIC with the previous phases of the POLARIS project. However, nothing is active with these organizations relative to the POLARIS project at this time. |
| 104 | Will there need to be a link to juvenile detention center information? | At some point, it may need to be a part of our data warehouse. |
| <u>Additional Questions - April 22, 2011</u> | | |
| 105 | How many preformatted reports are anticipated for the Probation Dashboard and Data Warehouse system? | It is unknown at this time. The number of reports (online and print) will initially be identified during the Discovery phase when additional information is available and gathered from the respective CMS for the warehouse repositories and e-Business applications. |
| 106 | What type of users should have access to the Probation Data warehouse and Dashboard? | For the purposes of this prototype, the users will consist of judicial staff and Probation Departments. Also see the answers to the questions in the Digital Signature section of this document. |